

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert David Solomon, et al

Confirmation No. 7597

Serial No.: 10/808,808

Group Art Unit: 1711

Filed:

03/24/04

Examiner: to be assigned

For:

Aqueous Polymer Composition And

Coating Produced Therefrom

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

. Sir:

In accordance with Applicants' duty of disclosure under 37 CFR §§ 1.97 to 1.98, Applicants submit herewith substitute for form 1449/PTO "Information Disclosure Statement by Applicant". Inclusion of a document in this Information Disclosure Statement or on its attached form PTO-1449 is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

The filing of the Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 CFR 1.56(a), exists.

As per the OG, August 05, 2003, waiver of Rule 37 CFR 1.98 (a) (2) (i), Applicants have not submitted any copies of U.S. Patents and Published Applications since this application was filed after June 30, 2003.

The Examiner is requested to review the references cited herein and to make the references cited of record in the present application.

Respectfully submitted,

Attorney for Applicants

Reg. No. 43,694

Tel. No. (215) 592-3689

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KRJ/sd

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CERTIFICATE OF MAILING

i Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Information Disclosure Statement List of Art Cited (Form PTO 1449) Cited Art Postcard

June 212001

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PTO/SB/08A (08-03)

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Substitute for form PPTO PADEN

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Complete if Known			
Application Number	10/808,808	_	
Filing Date	3/24/04		
First Named Inventor	Robert D. Solomon		
Art Unit	1711		
Examiner Name			
Attorney Docket Number	A01507		

Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
nitials*	No.'	Number-Kind Code ^{2 (If known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		^{US-} 4,526,945	07/02/85	Carlson et al	
	-	^{US-} 4,680,352	07/14/87	Janowicz et al	
·		^{US-} 4,680,354	07/14/87	Lin et al	
		^{US-} 4,694,054	09/15/87	Janowicz	
ō		^{US-} 4,886,861	12/12/89	Janowicz	
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		^{US-} 5,247,000	09/21/93	Allan	
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		^{US-} 5,521,266	05/28/96	Lau	
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		^{US-} 5,602,220	02/11/97	Haddleton et al	
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		^{US-} 2002/0161098 A1	10/31/02	Lau et al	

		FORE	IGN PATENT DOC	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	<u>.</u>	Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
		EP0196783 A1	10/08/86	E. I. Dupont		
		EP0199436 A1	10/29/86	E. I. Dupont		
		WO87/03605	06/18/87	Hawthorne, David		\Box
		WO02/22691 A2	03/21/02	Rohm and Haas		
		WO02/22750 A2	03/21/02	Rohm and Haas		
		WO96/15158	05/23/96	Moad, Graeme		

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** 10/808,808 Filing Date INFORMATION DISCLOSURE 3/24/04 First Named Inventor STATEMENT BY APPLICANT Robert D. Solomon Art Unit 1711 **Examiner Name** (Use as many sheets as necessary) Attorney Docket Number A01507 Sheet

Examiner	Cite No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant
Initials* No.1	No.'	Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	₽
	•	WO 97/34934	09/25/97	E. I. DuPont		
	•••••••					

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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